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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

3945
10/699747
U.S.PTO110303
Barcode

Attorney Docket No.	S-ECI-005C
First Inventor	JOHN H. SHADDUCK
Title	INSTRUMENTS AND TECHNIQUES FOR CONTROLLED REMOVAL OF EPIDERMIS
Express Mail Label No.	EU 575362449 US

APPLICATION ELEMENTS		ADDRESS TO:	
See MPEP chapter 600 concerning utility patent application contents.			
A. Box Patent Application Washington, DC 20231			
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small>		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	
2. <input checked="" type="checkbox"/> Applicant claims small entity status. <small>See 37 CFR 1.27.</small>		8. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small>	
3. <input checked="" type="checkbox"/> Specification [Total Pages <u>17</u>] <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 		a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies	
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <u>9</u>]		9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
5. Oath or Declaration [Total Pages <u> </u>]		10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of <small>(when there is an assignee)</small> <input type="checkbox"/> Attorney	
a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <small>(for continuation/divisional with Box 18 completed)</small>		11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS 13. <input type="checkbox"/> Preliminary Amendment <input type="checkbox"/> Citations	
i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small>		14. <input type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small>	
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(If foreign priority is claimed)</small>	
		16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.	
		17. <input type="checkbox"/> Other:	
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP)			
Prior application information: Examiner <u>Vy A. Bui</u>		of prior application No.: <u>09,648,025</u> Group Art Unit: <u>3731</u>	
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.			
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<input type="checkbox"/> Customer Number or Bar Code Label <div style="background-color: black; color: black; width: 150px; height: 20px; margin-left: 10px;"></div>		or <input type="checkbox"/> Correspondence address below <small>Please print or type. Attach bar code label here.</small>	
Name	<u>JOHN H. SHADDUCK</u>		
Address	<u>1490 VISTAZO WEST STREET</u>		
City	TIBURON	State	CA
Country	USA	Telephone	415 485 0752
Fax	415 485 4175		
Nam (Print/Type)	John H. Shadduck	Registration No. (Attorn y/Ag nt)	
Signature	<u>John H. Shadduck</u>		Date 11-3-03

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11/03/03

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FEE TRANSMITTAL

for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$)385

Complete if Known

Application Number	<u>11-3-03</u>
Filing Date	<u>11-3-03</u>
First Named Inventor	<u>John H. Shaddock</u>
Examiner Name	<u>Vy. Q. Bui</u>
Art Unit	<u>3731</u>
Attorney Docket No.	<u>S-ECI-005C</u>

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order Other None

Deposit Account:

Deposit Account Number	<u></u>
Deposit Account Name	<u></u>

The Director is authorized to: (check all that apply)

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Charge any additional fee(s) or any underpayment of fee(s)

Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385			Utility filing fee	<u>385</u>
1002 340	2002 170			Design filing fee	
1003 530	2003 265			Plant filing fee	
1004 770	2004 385			Reissue filing fee	
1005 160	2005 80			Provisional filing fee	
SUBTOTAL (1)		(\$)			<u>385</u>

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
			-20** =	X	=
			-3** =	X	=

Large Entity	Small Entity	Fee Description
Fee Code (\$)	Fee Code (\$)	
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		(\$)

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid
1051 130	2051 65	2051 65	65	Surcharge - late filing fee or oath	
1052 50	2052 25	2052 25	25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	1053 130	130	Non-English specification	
1812 2,520	1812 2,520	1812 2,520	2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	1804 920*	920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	1805 1,840*	1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	2251 55	55	Extension for reply within first month	
1252 420	2252 210	2252 210	210	Extension for reply within second month	
1253 950	2253 475	2253 475	475	Extension for reply within third month	
1254 1,480	2254 740	2254 740	740	Extension for reply within fourth month	
1255 2,010	2255 1,005	2255 1,005	1,005	Extension for reply within fifth month	
1401 330	2401 165	2401 165	165	Notice of Appeal	
1402 330	2402 165	2402 165	165	Filing a brief in support of an appeal	
1403 290	2403 145	2403 145	145	Request for oral hearing	
1451 1,510	1451 1,510	1451 1,510	1,510	Petition to institute a public use proceeding	
1452 110	2452 55	2452 55	55	Petition to revive - unavoidable	
1453 1,330	2453 665	2453 665	665	Petition to revive - unintentional	
1501 1,330	2501 665	2501 665	665	Utility issue fee (or reissue)	
1502 480	2502 240	2502 240	240	Design issue fee	
1503 640	2503 320	2503 320	320	Plant issue fee	
1460 130	1460 130	1460 130	130	Petitions to the Commissioner	
1807 50	1807 50	1807 50	50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	1806 180	180	Submission of Information Disclosure Stmt	
8021 40	8021 40	8021 40	40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	2809 385	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	2810 385	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	2801 385	385	Request for Continued Examination (RCE)	
1802 900	1802 900	1802 900	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	<u>John H. Shaddock</u>	Registration No. (Attorney/Agent)		Telephone <u>415 435 0752</u>
Signature	<u>John H. Shaddock</u>		Date	<u>11-3-03</u>

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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